U.S. DEPARTMENT OF COMMERCE
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION
NATIONAL MARINE FISHERIES SERVICE

OMB No. 0648-0304
Expires: 03/31/2015

HIGH SEAS FISHING PERMIT APPLICATION

Please print legibly or type. Payment of $129 and copy of the vessel's U.S. Coast Guard documentation or state registration must be submitted with this application.

SECTION 1. VESSEL INFORMATION

USCG Doc. or State Registration No.	Vessel Name	Radio Call Sign

Crew Size (including officers)	Shaft Horsepower	Refrigeration Type (Check only one or write in)
		☐ ICE ☐ BRINE ☐ BLAST ☐ PLATE ☐ TUNNEL ☐ RSW OTHER: _____

SECTION 2. VESSEL OWNERSHIP INFORMATION

Managing Owner as shown on U.S. Coast Guard Form 1270 or State Registration

Owner's Name, Last	First	Middle	Suffix	Taxpayer Identification No.

Company Name, if vessel is owned by a business entity	State and Date of Formation (mm/dd/yyyy)	Date of Birth (mm/dd/yyyy)

Business Address	Phone No.	Fax No.

City and State	Zip code	Email Address (optional)

SECTION 3. VESSEL OPERATOR INFORMATION

Operator's Name, Last	First	Middle	Suffix	Taxpayer Identification No.

Company Name, if vessel is operated by a business entity	State and Date of Formation (mm/dd/yyyy)	Date of Birth (mm/dd/yyyy)

Business Address	Phone No.	Fax No.

City and State	Zip code	Email Address (optional)

SECTION 4. VESSEL STATUS

Has the vessel identified above flown the flag of another nation within the last three years? ☐ YES ☐ NO

If yes, provide the following information for each period during which the vessel operated under other than the U.S flag: period beginning and end dates (mm-dd-yyyy); vessel name, flag, international radio call sign, and homeport; owner name, date of birth, address, phone, and fax; and operator name, date of birth, address, phone, and fax. Provide the information on a separate sheet of paper.

Has the vessel identified above, under its current name/flag or any previous names/flags, had any permit or license suspended or revoked within the past three years? ☐ YES ☐ NO

If yes, list and attach on a separate sheet of paper the circumstances surrounding each such instance and provide an explanation of the current status of the suspension or revocation including whether there has been a change in ownership of the vessel.

FOR OFFICIAL USE ONLY

Date Received: Check/Money Order Number: Reviewer:

SECTION 5. VESSEL TYPE

Check the box for the vessel type that best describes your vessel. Check only one.

TRAWLERS

- ☐ 0110 – Stern trawler
- ☐ 0122 – Stern trawlers wet-fish
- ☐ 0121 – Stern trawlers freezer
- ☐ 0120 – Stern trawlers factory
- ☐ 0199 – Trawler, other

PURSE SEINERS

- ☐ 0228 – Tuna purse seiner
- ☐ 0229 – Purse Seiner, other

GILL NETTERS

- ☐ 0410 – Drift netter
- ☐ 0490 – Gill netter, other

LONGLINERS

- ☐ 623 – Freezer Longliner
- ☐ 0624 – Factory Longliner
- ☐ 0626 – Tuna Longliner
- ☐ 0627 – Longliner, Other

OTHER LINERS

- ☐ 0705 – Squid Jigging Line Vessel
- ☐ 0710 – Handliner
- ☐ 0720 – Pole and Line Vessel
- ☐ 0730 – Troller

OTHER VESSELS

- ☐ Bunker
- ☐ Fish Carrier
- ☐ Support Vessel, Other*

*If a support vessel, write in type below:

_____

SECTION 6. AUTHORIZED HIGH SEAS FISHING ACTIVITIES

Using as a reference the list of Authorized High Seas Fishing Activities in the instructions, check those under which you intend to fish on the high seas. You must select at least one; however, check only those under which you will actually fish. You are responsible for meeting the reporting requirements for all you select.

- ☐ Pacific Highly Migratory Species Fisheries – 50 CFR 660, Subpart K
- ☐ Eastern Pacific Tuna Fisheries – 50 CFR 300, Subpart C
- ☐ South Pacific Albacore Troll Fishing
- ☐ Western Pacific Pelagic Fisheries – 50 CFR 665, Subpart F
- ☐ South Pacific Tuna Fisheries – 50 CFR 300, Subpart D
- ☐ Atlantic Highly Migratory Species – 50 CFR 635
- ☐ Antarctic Marine Living Resources – 50 CFR 300, Subpart G
- ☐ Northwest Atlantic Trawl Fishery

SECTION 7. SIGNATURE

All applications must be signed and dated.

A non-refundable application fee of $129.00 in the form of a check or money order made payable to "U.S. Department of Commerce – NOAA" must accompany each application. Mail the application, payment, and copy of the vessel's U.S. Coast Guard documentation or state registration to the Regional Administrator with whom you normally interact on fisheries matters. Addresses are provided in the application instructions.

By signing this application, the undersigned owner or operator of the vessel identified above states, under penalty of perjury, that all information set forth within this application is true and correct.

Signature	Name (print legibly or type)	Date

Submission of application information is mandatory in order to be considered for a permit and is used to determine if a permit should be issued. The public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Send comments regarding this burden estimate or suggestions for reducing this burden to: NMFS, Office of International Affairs, 1315 East West Highway, Silver Spring, MD 20910. Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Disclosure of your Taxpayer Identification Number [Social Security Number (SSN) or Employer Identification Number] is mandatory. Statutory provisions enacted by the Debt Collection Improvement Act of 1996 (Public Law 104-134) require that persons doing business with the Federal Government, including those applying for licenses or permits, must present their Taxpayer Identification Number. SSNs will remain confidential and will be protected from disclosure in accordance with applicable law.

INSTRUCTIONS FOR THE
PERMIT APPLICATION FOR COMMERCIAL FISHING ON THE HIGH SEAS

GENERAL INFORMATION

A permit is required for commercial fishing vessels that operate on the high seas. Consult the U.S. Code of Federal Regulations (Title 50 CFR Part 300 Subpart B) for application requirements, permit eligibilities, and related information.

Complete all sections of the application form. This information is being collected by the National Marine Fisheries Service (NMFS) to ensure timely and accurate records are available concerning the fishing vessels of the U.S. that participate in fishing on the high seas, consistent with the High Seas Fishing Compliance Act.

Applications should be typed or hand written in ink. Incomplete or illegible applications will not be accepted. The non-refundable application fee is $129. A check or money order payable to the "U.S. Department of Commerce – NOAA" must accompany each application.

Mail the application, payment, and all required supporting information to one of the offices noted in Section 6 below. Submit your application to the Regional Administrator with whom you normally interact on fisheries matters.

Owners or operators of U.S. vessels used for commercial fishing for highly migratory species (HMS) on the high seas in the convention area of the Western and Central Pacific Fisheries Commission (WCPFC) are required to obtain a NMFS-issued fishing authorization called a "WCPFC Area Endorsement" in addition to the permit for fishing on the high seas. The application for the WCPFC Area Endorsement can be obtained from NMFS Pacific Islands Regional Office (address is below under Section 6). The WCPFC Area Endorsement is issued by the Regional Administrator of NMFS Pacific Islands Region as an endorsement on the permit issued under the High Seas Fishing Compliance Act. Any vessels receiving fish from another fishing vessel or bunkering or otherwise supplying or supporting a vessel that is harvesting HMS, as well as those vessels harvesting HMS, are subject to this requirement.

APPLICATION INSTRUCTIONS

SECTION 1. VESSEL INFORMATION

Enter the U.S. Coast Guard Official (USCG) Documentation Number assigned to the vessel. Attach a copy of the vessel's current documentation (Form CG-1270). If the vessel is not USCG documented, enter the vessel's current state registration number and attach a copy of the vessel's current state registration. Insure that all photocopies are completely legible.

Enter the current name of the vessel.
Enter the radio call sign currently assigned to the vessel.
Enter the total number of crew members and officers the vessel normally carries.
Enter shaft horsepower.
Indicate refrigeration type. If selecting "OTHER," write in the refrigeration type.

SECTION 2. VESSEL OWNERSHIP INFORMATION

If the vessel is owned by a corporation or limited liability company, enter the company name and the jurisdiction (state) where the company was formed and the date of formation (such as the date the articles of incorporation or registration documents were filed), taxpayer identification number, business address, and phone and fax numbers.

If the vessel is owned by individual(s) or a partnership, provide each owner's full legal name, date of birth, business address, telephone number, and fax number. Inclusion of an email address is optional.

If there is more than one owner, attach the required information for each additional owner on a separate paper.

SECTION 3. VESSEL OPERATOR INFORMATION

Enter the name, date of birth, business address, telephone number, and fax number for the individual or partnership that has control over the operation of the vessel. If the vessel operator is a corporation or a limited liability company, enter the company name and the jurisdiction (state) where the company was formed and the date of formation (such as the date the articles of incorporation or registration documents were filed), and the taxpayer identification number. Inclusion of an email address is optional.

SECTION 4. VESSEL STATUS

Indicate whether the vessel has flown the flag of another nation within the last three years. Also indicate if the vessel, under its current or any previous names/flags, had any permit or license suspended or revoked within the past three years. Provide the additional information concerning the circumstances regarding the suspension or revocation. Indicate whether the suspension or revocation was related to a failure to comply with conservation and management measures.

SECTION 5. FISHING VESSEL TYPE

Check the box for the principal vessel type of your vessel. Only one type may be selected.

SECTION 6. AUTHORIZED HIGH SEAS FISHING ACTIVITIES

Fishing on the high seas must generally be associated with at least one fishery that is authorized by a Fishery Management Plan or an international regional fisheries organization. Indicate those fisheries for which you already hold a permit or have applied for a permit and under which you will actually fish. ***You are responsible for meeting the reporting requirements for all you select***. Below are the laws and regulations that apply to the fisheries. The NMFS office to which you submit the application for a high seas permit is also listed below.

Pacific HMS Fisheries (**50 CFR 660, Subpart K)**: Albacore troll fishing conducted in the North Pacific Ocean, tuna purse seine fishing, and tuna longline fishing (i.e., deep set fishing) by vessels with permits issued pursuant to 50 CFR 660, Subpart K – Pacific HMS Fisheries; Fishery Management Plan for West Coast HMS.

Eastern Pacific Tuna Fisheries (**50 CFR 300, Subpart C)**: Tuna purse seine fishing in the eastern tropical Pacific Ocean regulated pursuant to 50 CFR 300, Subpart C – Eastern Pacific Tuna Fisheries and 50 CFR 216.24 – Taking and related acts incidental to commercial fishing operations by tuna purse seine vessels in the eastern tropical Pacific Ocean; Convention for the Establishment of an Inter-American Tropical Tuna Commission (IATTC), 1949; Tuna Conventions Act of 1950 (16 U.S.C. 951-961) as amended by the International Dolphin Conservation Act (IDCA) of March 3, 1999 (16 U.S.C. 1413).

South Pacific Albacore Troll Fishery: Albacore troll fishing conducted in the South Pacific Ocean.

The following office accepts High Seas Fishing Permit Applications for Pacific HMS Fisheries, Eastern Pacific Tuna Fisheries, and South Pacific Albacore Troll Fishery and can provide additional information on these fisheries, including information about reporting requirements:

National Marine Fisheries Service
West Coast Regional Office, Long Beach
ATTN: Permits
501 West Ocean Blvd., Suite 4200
Long Beach, CA 90802-4213
Phone: (562) 980-4024; Fax: (562) 980-4047

Western Pacific Pelagic Fisheries (**50 CFR 665, Subpart F)**: Fishing using troll, handline, or longline for Pacific pelagic management unit species regulated pursuant to 50 CFR 665, Subpart F – Western Pacific Pelagic Fisheries; FMP for Pelagic Fisheries of the Western Pacific Region.

South Pacific Tuna Fisheries (**50 CFR 300, Subpart D)**: Tuna purse seine fishing in the South Pacific Ocean regulated pursuant to 50 CFR 300, Subpart D – South Pacific Tuna Fisheries; Treaty on Fisheries Between the Governments of Certain Pacific Island States and the Government of the United States of America (SPTT), 1987; South Pacific Tuna Act of 1988 (16 U.S.C. 973 *et seq*.).

The following office accepts High Seas Fishing Permit Applications for Western Pacific Pelagic Fisheries and South Pacific Tuna Fisheries and can provide additional information on these fisheries, including information about reporting requirements:

National Marine Fisheries Service
Pacific Islands Regional Office
ATTN: Permits
1845 Wasp Blvd., Bldg. 176
Honolulu, HI 96818
Phone: (808) 725-5000; Fax: (808) 725-5215

Atlantic Highly Migratory Species (50 CFR 635): Fishing in the Atlantic Ocean for Atlantic tunas, swordfish and sharks by vessels (on the high seas, the vessels are primarily longline) with permits issued pursuant to Title 50 of the Code of Federal Regulations (CFR) Part 635 – Atlantic Highly Migratory Species (HMS); Fishery Management Plan (FMP) for Atlantic Tunas, Swordfish and Sharks; International Convention for the Conservation of Atlantic Tunas (ICCAT), 1969; Atlantic Tunas Convention Act of 1975 (16 U.S.C. 971 *et seq*.).

Applications for High Seas Fishing Permits to fish for Atlantic tunas, swordfish, and sharks should be sent to one of the following two offices:

Regional Administrator, Northeast Region
National Marine Fisheries Service
55 Great Republic Drive
Gloucester, MA 01930-2276
Phone: (978) 281-9300; Fax: (978) 281-9333

Regional Administrator, Southeast Region
National Marine Fisheries Service
Constituency Services Branch
263 13th Avenue South
St. Petersburg, FL 33701
Phone: (727) 824-5326; Fax: (727) 551-5747

For additional information on Atlantic HMS, including information about reporting requirements, contact:

National Marine Fisheries Service
Office of Sustainable Fisheries
Highly Migratory Species Management Division (F/SF1)
Silver Spring, MD 20910
Phone: (301) 427-8503; Fax: (301) 713-1917

Northwest Atlantic Trawl Fishery: Fishing in the Northwest Atlantic Ocean for species managed pursuant to the Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries (NAFO) (16 U.S.C. 5601).

The following office accepts High Seas Fishing Permit Applications for the Northwest Atlantic Trawl Fishery and can provide additional information on this fishery, including information about reporting requirements:

Regional Administrator, Northeast Region
National Marine Fisheries Service
55 Great Republic Drive
Gloucester, MA 01930-2276
Phone: (978) 281-9300; Fax: (978) 281-9333

Antarctic Marine Living Resources (**50 CFR 300, Subpart G)**: Fishing for species specified in a valid Antarctic Marine Living Resources (AMLR) harvesting permit, issued in accordance with 50 CFR 300.112, within the area of the Convention for the Conservation of Antarctic Living Marine Resources outside of Exclusive Economic Zones in the Southern Ocean. Fishing must be conducted only for the species specified in a valid

AMLR harvesting permit, which will also specify the area(s) of Convention waters authorized for fishing, validity period of the AMLR harvesting permit and any other requirements and conditions of fishing deemed necessary. Once the validity period of an AMLR permit has ended, no further fishing may be conducted in Convention waters until another valid AMLR harvesting permit authorizes additional fishing in Convention waters; Convention for the Conservation of Antarctic Living Marine Resources, 1982; Antarctic Marine Living Resources Act of 1984, (16 U.S.C. 2431 *et seq*.).

The following office accepts High Seas Fishing Permit Applications for Antarctic Marine Living Resource fisheries and can provide additional information on these fisheries, including information about reporting requirements:

National Marine Fisheries Service
Office of International Affairs
1315 East West Highway (F/IA)
Silver Spring, MD 20910
Phone: (301) 427-8365; Fax: (301) 713-2313

SECTION 7. SIGNATURE

The application must be signed by the owner or operator of the vessel. Sign and print your name and enter the date the application was signed. Be sure to include the non-refundable application fee of $129.00 in the form of a check or money order made payable to the "U.S. Department of Commerce – NOAA" along with the copy of the vessel's USCG documentation or state registration.