

Appendix B. Application Review Checklist for Section 10(a)(1)(A) and NEPA Criteria

Protected Resources Permits and Conservation Division
Review of Protected Species Research or Enhancement Permit Application

File No. _____ Applicant: _____

Species/location: _____

Criterion	Criterion met?(Y/N)	How?
Application Requirements		
Followed all instructions and used current OMB-approved version		
Application signed by appropriate person (individual applicant or Responsible Party verified)		
Application Requirements and Information for Section 7 Consultation		
Objectives are tied to recovery priorities (see issuance criteria below)		
Methods are described in sufficient detail to evaluate potential effects by species/age/sex/location including critical habitat		
Sample size is justified/ reasonably likely to occur		
Best available science is used to discuss possible adverse impacts and how they would be minimized or mitigated		
Proposed monitoring is appropriate to evaluate effects of research and recovery of animals post-handling or sampling		
Lethal take is justified		
Provisions for disposition of species at conclusion are described		
Have applied for, secured, or will apply for funding; and/or, have demonstrated record of securing funding		

Criterion	Criterion met?(Y/N)	How?
Issuance Criteria the Office Director Considers (50 CFR 222.308(c))		
The permit has been “applied for in good faith:” i.e., the applicant has demonstrated their intent to act consistent with the requirements of the ESA, regulations, and permit conditions; and their capability is consistent with what they purport to accomplish		
The proposed activity “will not operate to disadvantage of listed species:”		
Consistent with the purposes of the ESA (section 2), results would contribute to the objectives identified in the species’ recovery plan or otherwise respond to recommendations of a scientific body charged with management of the species; contribute significantly to understanding the basic biology or ecology of the species; and contribute significantly to identifying, evaluating, or resolving conservation problems.		
Would further a bona fide and necessary or desirable scientific purpose; or enhance propagation or survival		
Personnel have adequate qualifications to carry out the proposed action and adequate facilities for captive care		
Proposed activities cannot be conducted using an alternative species or stock (not listed)		
Expert opinions have been considered and addressed		
Applicant has demonstrated compliance with IACUC requirements (required for NMFS turtle applicants only)		

Criterion	Criterion met?(Y/N)	How?
NEPA NAO 216-6A Extraordinary Circumstances – if not met, EA or EIS required		
Applicant has described methods and mitigation with sufficient detail for PR1 to evaluate potential effects on target and non-target species and the physical environment		
No potentially significant effect on human health or safety		
No potentially significant effect on a geographic area with unique environmental characteristics (e.g., park lands, prime farmlands, wetlands and floodplains, wild and scenic rivers, sole source aquifers, marine protected areas, national marine sanctuaries, national estuarine reserves, or national marine monuments)		
No potentially significant effect on species or habitats protected by the ESA, MMPA, MSA, or MBTA		
No potential generation, use, storage, transport, or disposal of significant quantities of hazardous or toxic substances, (e.g., materials used at laboratories or maintenance facilities)		
No potentially significant effect on properties listed or eligible for listing on the National Register of Historic Places authorized by the NHPA, National Historic Landmarks designated by the Secretary of the Interior, or the National Monuments designated through the Antiquities Act; Federally recognized Tribal and Native Alaskan lands, cultural or natural resources, or religious or cultural sites		

Criterion	Criterion met?(Y/N)	How?
No disproportionately high or adverse effect on the health or the environment of minority or low-income communities		
No significant potential to introduce or spread invasive species (e.g., zebra mussels, cordgrass)		
No potential violation of Federal, State, or local law or requirements imposed for protection of the environment		
No uncertain environmental effects or unique or unknown risks		

Analyst: _____

Date: _____