

## Appendix D. Permits Division Annual Report Form for Section 10(a)(1)(A) Permit Holders

### Protected Species Permit Annual Report Form

We highly recommend you submit your report through the online system at <https://apps.nmfs.noaa.gov>.

If you do not submit your report online, please fill out this form and return

- by email attachment to the permit analyst for this permit; or
- by hard copy mailed or faxed to the Chief, Permits Division, Office of Protected Resources, NMFS, 1315 East-West Highway, Suite 13705, Silver Spring, MD 20910; phone (301)427-8401; fax (301)713-0376.

**Permit Number:**

**Date Prepared:**

**Permit Holder's Name:**

**Reporting Period:** (please check one)

- Annual #1 [date to date]
- Annual #2 [date to date]
- Annual #3 [date to date]
- Annual #4 [date to date]
- Annual #5 [date to date]
- Annual #6 [date to date]
- Annual #7 [date to date]
- Annual #8 [date to date]
- Annual #9 [date to date]
- Annual #10 [date to date]

**Contact Name:**

**Contact Email:**

**Contact Phone Number:**

(Contact = person submitting report)

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**Part I: Take Table.** Enter the actual number of animals taken<sup>1</sup> during this reporting period. Take tables from permits are inserted here with a column to be filled for “Actual number of

animals taken.” Contact your permit analyst for a copy of the permit take table.

If you conducted activities or took protected species for which you were not authorized, you must enter these takes on separate lines of the table. Explain what happened in Part II below.

**Part II: Narrative.** Review your permit before filling out this form to ensure you address reporting requirements specific to your research. Provide complete answers. If a question is not applicable, explain why.

1. How did target animals react to different research activities? Non-target animals? How often did you see these reactions (rate/percentage of times, etc.)? Summarize how target and non-target animals reacted to the procedures, the proportion of animals that had those reactions, and whether any unusual behavioral reactions were noted. See Section E of your permit for specific information needed.

2. Describe problems encountered during this reporting period and steps proposed or taken to resolve them. Examples include equipment failure, weather delays, safety issues and unanticipated effects to habitats or other species.

3. Did serious injuries or mortalities occur or did you take a protected species you were not permitted to take? If so, and you already submitted an incident report, please briefly describe the event here and refer to the incident report. If such an incident occurred and you have not yet reported it, provide a full description of the incident (e.g., how did the take occur; gross necropsy and histopathology findings or other information to confirm cause of death or extent of injuries) and steps that were or will be taken to reduce the possibility of it happening again.

4. What efforts did you make to conduct post-research monitoring? What did you find? Specifically, we are interested in data on:

- condition of animals on recapture
- healing from intrusive sampling
- healing from intrusive tag deployments (surgical tag implants requiring sutures, limpet and implant tagging sites, medial ridge and pygal tag sites)
- tag retention
- recovery from sedation and handling and post-release behavior
- repopulating rookeries/haul outs after flushing.

5. What efforts did you make to coordinate with the applicable NMFS Regional Office(s) and collaborate with other researchers? How did the collaborations occur (for example, timing of field work, sharing vessels, sharing data)?

6. What progress did you make toward meeting your objectives this year? Include full citations of any reports, publications, and presentations from this reporting period. Electronic copies must be made available upon request.

**10<sup>th</sup> year Report - Summary of Your Research – In addition to the questions above, answer the following:**

7. Did you meet your objectives? What did you learn?

8. If you did not meet your objectives, explain why. For example, if you did not tag or mark as many animals as needed to meet your sample size, explain why and how that impacted your ability to meet your objectives.

9. For ESA-listed species: Explain how your activities benefitted the species or promoted recovery or conservation of the target species. How did your research contribute to fulfilling the research needs or objectives in the Recovery or Conservation Plan (as applicable)? Explain.

10. Did you identify any additional or improved mitigation measures?

**Feedback (optional)**

We appreciate any feedback on APPS and your permit. For example, did you have any problems using APPS? Were any permit conditions difficult to comply with or unclear? Were your permitted take numbers appropriate?