FIN WHALE (*Balaenoptera physalis*): Northeast Pacific Stock

**STOCK DEFINITION AND GEOGRAPHIC RANGE**

In the North Pacific Ocean, fin whales can be found from above the Arctic Circle to lower latitudes of approximately 20°N (Leatherwood et al. 1982). Within the U.S. waters in the Pacific, fin whales are found seasonally off the coast of North America and Hawaii, and in the Bering Sea during the summer (Fig 34). Recent information on seasonal fin whale distribution has been gleaned from the reception of fin whale calls by bottom-mounted, offshore hydrophone arrays along the U.S. Pacific coast, in the central North Pacific, and in the western Aleutian Islands (Moore et al. 1998; Watkins et al. 2000). Moore et al. (1998) and Watkins et al. (2000) both documented high levels of fin whale call rates along the U.S. Pacific coast beginning in Aug/Sept and lasting through February, suggesting that this may be an important feeding area during the winter. While peaks in call rates occurred during fall and winter in the central North Pacific and the Aleutian Islands, there were also a few calls recorded during the summer months. While seasonal differences in recorded call rates are generally consistent with the results of aerial surveys which have documented seasonal whale distribution, it is not known whether these differences in call rates reflect true seasonal differences in whale distribution, differences in calling rates, or differences in oceanographic properties (Moore et al. 1998). Fin whale calls have also been well-documented off of Hawaii during the winter (McDonald and Fox 1999), although aerial and shipboard surveys have found relatively few animals in Hawaiian waters (Mobley et al. 1996). In addition, recent vessel surveys in July have documented large concentrations of fin whales in the central Bering Sea, which provides a strong indication that the Bering Sea is an important summer feeding area (Moore et al. in review). The following information was considered in classifying stock structure based on the Dizon et al. (1992) phylogeographic approach: 1) Distributional data: geographic distribution continuous in winter, possibly isolated in summer; 2) Population response data: unknown; 3) Phenotypic data: unknown; and 4) Genotypic data: unknown. Based on this limited information, the International Whaling Commission considers fin whales in the North Pacific to all belong to the same stock (Mizroch et al. 1984), although the authors cited additional evidence that supports the establishment of subpopulations in the North Pacific. Further, Fujino (1960) describes an eastern and a western group, which are isolated though may intermingle around the Aleutian Islands. Tag recoveries reported by Rice (1974) indicate that animals wintering off the coast of southern California range from central California to the Gulf of Alaska during the summer months. Fin whales along the Pacific coast of North America have been reported during the summer months from the Bering Sea to as far south as central Baja California (Leatherwood et al. 1982). As a result, stock structure of fin whales is considered equivocal. Based on a conservative management approach, three stocks are recognized: 1) Alaska (Northeast Pacific), 2) California/Washington/Oregon, and 3) Hawaii. The California/Oregon/Washington and Hawaii fin whale stocks are reported separately in the Stock Assessment Reports for the Pacific Region.
POPULATION SIZE

Reliable estimates of current and historical abundance for the entire Northeast Pacific fin whale stock are currently not available. Ranges of population estimates for the entire North Pacific prior to exploitation and in the early 1970s are 42,000 to 45,000 and 14,620 to 18,630, respectively (Ohsumi and Wada 1974), representing 32% to 44% of the precommercial whaling population size (Braham 1984). These estimates were based on population modeling, which incorporated catch and observation data. These estimates also include whales from the California/Oregon/Washington stock for which a separate abundance estimate is currently available.

Two recent studies provide some information on presence of fin whales, although they do not provide estimates of population size. A survey conducted in August of 1994 covering 2,050 nautical miles of trackline south of the Aleutian Islands encountered only 4 fin whale groups (Forney and Brownell 1996). However, this survey did not include all of the waters off Alaska where fin whale sightings have been reported, thus, no population estimate can be made. Passive acoustics were used off the island of Oahu, Hawaii, to document a minimum density estimate of 0.081 fin whales/1000km$^2$ from peak call rates during the winter (McDonald and Fox 1999). This density estimate is well below the population density of 1.1 animals/1000km$^2$ documented off the coast of California (Barlow, 1995; Forney et al. 1995), but does indicate that Hawaii is used seasonally by fin whales.

A visual survey for cetaceans was conducted in the central Bering Sea in July-August 1999 in cooperation with research on commercial fisheries (Moore et al., in review). The survey included 6,043 miles of tracklines, most of which were west of St. Matthew Island, north of the 200m bathymetric contour, and south of the U.S./Russia Convention Line. There were 58 on-effort sightings of fin whales during this survey, the majority of which occurred along the outer Bering Sea shelf break. Aggregations of fin whales were often sighted in areas where the ship’s echosounder identified large aggregations of zooplankton, euphausids, or fish. One aggregation of fin whales which occurred during an off-effort period involved greater than 100 animals and occurred in an area of dense fish echosign. Results of this cetacean survey provide an estimated abundance of 4,951 fin whales (95% CI 2,833-8,653; CV = 0.29) in the central Bering Sea during the summer. This estimate cannot be used as an estimate of the entire Northeast Pacific stock of fin whales because it is based on a survey in only part of the stock’s range.

Minimum Population Estimate

At this time, it is not possible to produce a reliable estimate of minimum abundance for this stock, as a current estimate of abundance is not available.

Current Population Trend

Reliable information on trends in abundance for the Northeast Pacific stock of fin whales are currently not available. There is no indication whether recovery of this stock has or is taking place (Braham 1992; Perry et al., 1999).

CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

A reliable estimate of the maximum net productivity rate is currently unavailable for the Northeast Pacific fin whale stock. Hence, until additional data become available, it is recommended that the cetacean maximum net productivity rate ($R_{MAX}$) of 4% be employed for this stock (Wade and Angliss 1997).

POTENTIAL BIOLOGICAL REMOVAL

Under the 1994 reauthorized Marine Mammal Protection Act (MMPA), the potential biological removal (PBR) is defined as the product of the minimum population estimate, one-half the maximum theoretical net productivity rate, and a recovery factor: $PBR = N_{MIN} \times 0.5R_{MAX} \times F_R$. The recovery factor ($F_R$) for this stock is 0.1, the recommended value for cetacean stocks which are listed as endangered (Wade and Angliss 1997). However, because a reliable estimate of minimum abundance is currently not available, the PBR for this stock is unknown.

ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

Fisheries Information

Prior to 1999, there were no observed or reported mortalities of fin whales incidental to commercial fishing operations within the range of this stock. However, in 1999, one fin whale was killed incidental to the Bering
Sea/Aleutian Island groundfish trawl fishery (Table 27). This single mortality results in an estimate of 3 mortalities in 1999, and an average 0.6 (CV = 1.0) mortalities over the 5-year period from 1995-99. Although there have been a few strandings of fin whales recorded in recent years (2 and 1 in 1998 and 1999, respectively; NMFS unpublished data), none of these have been noted as having evidence of fishery interactions.

Table 27. Summary of incidental mortality of fin whales (Northeast Pacific stock) due to commercial fisheries from 1995 through 1999 and calculation of the mean annual mortality rate.

<table>
<thead>
<tr>
<th>Fishery name</th>
<th>Years</th>
<th>Data type</th>
<th>Range of observer coverage</th>
<th>Observed mortality (in given yrs.)</th>
<th>Estimated mortality (in given yrs.)</th>
<th>Mean annual mortality</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bering Sea/Aleutian Is. (BSAI) groundfish trawl</td>
<td>95-99</td>
<td>obs data</td>
<td>53-75%</td>
<td>0, 0, 0, 0, 1</td>
<td>0, 0, 0, 0, 3</td>
<td>0.6 (CV = 1.0)</td>
</tr>
<tr>
<td>Estimated total annual mortality</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.6 (CV = 1.0)</td>
<td></td>
</tr>
</tbody>
</table>

The total estimated mortality and serious injury incurred by this stock as a result of interactions with commercial fisheries is 0.6 (CV = 1.0).

Subsistence/Native Harvest Information
Subsistence hunters in Alaska and Russia have not been reported to take fin whales from this stock.

Other Mortality
In the North Pacific and Bering Sea, catches of fin whales ranged from 1,000 to 1,500 animals annually from the mid-1950s to the mid-1960s. Thereafter, catches declined sharply and ended altogether in 1976 when catches became prohibited (Mizroch et al. 1984). These mortality estimates likely underestimate the actual kill as a result of under-reporting of the Soviet catches (Yablokov 1994).

STATUS OF STOCK
The fin whale is listed as “endangered” under the Endangered Species Act of 1973, and therefore designated as “depleted” under the MMPA. As a result, the Northeast Pacific stock is classified as a strategic stock. Reliable estimates of the minimum population size, population trends, PBR, and status of the stock relative to its Optimum Sustainable Population size are currently not available. The estimated annual rate of human-caused mortality and serious injury seems minimal for this stock; however, because of the estimated annual take of 0.6 animals, the minimum estimated mortality and serious injury cannot be considered to be insignificant and approaching a zero mortality and serious injury rate. There are no known habitat issues that are of particular concern for this stock.

CITATIONS
Forney, K. A., J. Barlow, and J. V. Carretta. 1995. The abundance of cetaceans in California waters. Part II: Aerial


