



**NOAA
FISHERIES**

**Update:
Atlantic HMS Electronic Dealer
(eDealer) Reporting System**

**HMS Management Division
September 9, 2013**



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Why require electronic dealer reporting?

Since January 1, 2013, all Federal Atlantic HMS dealers have been required to report electronically to:

- Increase the timeliness and accuracy of dealer reporting
- Improve quota monitoring for Atlantic HMS
- Improve data coordination for Atlantic HMS

* includes only shark, swordfish, and bigeye, albacore, yellowfin, and skipjack (BAYS) tuna dealers; does not include BFT dealers.

Dealers report on a weekly basis using a variety of programs:

- HMS electronic reporting program (eDealer; HMS only)
- SAFIS eDr (state and federal requirements)
- SAFIS e-1ticket (Georgia / South Carolina) (federal requirements)

Trip Tickets

- PC-1ticket (Georgia / South Carolina) (federal requirements)
- Caribbean (HMS only)
- Northeast (state and federal requirements for this version)
- North Carolina (state and federal requirements)
- Florida (state and federal requirements)
- Alabama (state and federal requirements)
- Mississippi (state and federal requirements)
- Louisiana (state and federal requirements)
- Texas (state and federal requirements)



Dealers were provided information that is also online:

**NOAA Fisheries Office of Sustainable Fisheries
Atlantic Highly Migratory Species**

HMS Electronic Dealer Reporting System

On August 8, 2012, NOAA Fisheries published a [final rule](#) (77 FR 47303) that modified existing Atlantic Highly Migratory Species (HMS) dealer reporting regulations for Atlantic sharks, swordfish, and bigeye, albacore, yellowfin, skipjack (BAYS) tunas.

Background

- Starting January 1, 2013, all Atlantic HMS dealers are required to report purchases of harvested Atlantic sharks, swordfish, and BAYS tunas through the reporting system.
- Dealers must submit reports on a **weekly** basis (Sunday to local time, of the first Tuesday following the end of the reporting period) whether dealers bought HMS during that weekly reporting period or did not buy HMS during that weekly reporting period (i.e., continue reporting bluefin tuna through the existing landing report system).
- These requirements are not new if you hold a Northeast HMS permit already report electronically using the Standard Atlantic Fish Trip Tickets. You may use your current program to continue reporting HMS, and will notice that several new HMS-related requirements have been incorporated.

Electronic Dealer Reporting (eDealer) System

The new eDealer system allows you to electronically submit all dealer reports. For dealers who are currently reporting using paper forms, the information requested on the prior paper forms along with a summary necessary for management of HMS.

- The eDealer system is integrated with existing electronic reporting and different versions of Trip Tickets.
- If you already use one of these programs, you will notice that the Southeast and the Gulf of Mexico, beginning January 1, 2013, Atlantic sharks, swordfish, and BAYS tunas to meet your Federal reporting requirements.
- If you are currently reporting through one of these programs, you are able to continue to use this program to meet your Federal reporting requirements.
- If you are a dealer who is new to electronic reporting or are new to the program, please contact us at (301) 427-8590 regarding which program to use and the requirements for dealers during our normal business hours, Monday through Friday.

Please refer to the [Frequently Asked Questions](#) section for additional information regarding dealer reporting requirements.

**Atlantic Highly Migratory Species (HMS) Electronic Dealer Reporting System
Compliance Guide
November 6, 2012**

The National Marine Fisheries Service (NMFS) recently published a final rule (August 8, 2012, 77 FR 47303) that modified existing Atlantic Highly Migratory Species (HMS) dealer reporting regulations for Atlantic sharks, swordfish, and bigeye, albacore, yellowfin, skipjack (BAYS) tunas. Regulations that govern dealer reporting requirements in Atlantic HMS fisheries may be found in the U.S. Code of Federal Regulations Title 50 Part 635.

Section 212 of the Small Business Regulatory Enforcement Fairness Act of 1996 states that, for each rule or group of related rules for which an agency is required to prepare a final regulatory flexibility analysis, the agency shall publish one or more guides to assist small entities in complying with the rule, and shall designate such publications as "small entity compliance guides." The agency shall explain the actions a small entity is required to take to comply with a rule or group of rules. This document serves as the small entity compliance guide for the August 8, 2012, final rule.

Background

HMS Dealers are required to hold a dealer permit and report purchases on a regular basis. An HMS "dealer" is any entity, person, or company who takes possession for commercial purposes, (other than solely for transport), of any HMS product by purchasing, trading, or bartering once it is offloaded from the vessel. There are different dealer permits available for each species or species group (e.g., shark dealer permit, swordfish dealer permit, and BAYS dealer permit). Appropriate dealer permits are available for each purchase.

Dealer permits for Atlantic Swordfish and Atlantic Shark are issued by the Northeast Regional Office. Dealer permits for Atlantic Bays are issued by the Southeast Regional Office. Permits Department annual dealer application can be downloaded free of charge at <http://www.nmfs.noaa.gov/permits-permits.htm>. If you are currently reporting using paper forms, the information requested on the prior paper forms along with a summary necessary for management of HMS.

20 workshops
(Texas through
Maine, including
Caribbean)

NOAA FISHERIES SERVICES
Atlantic Highly Migratory Species Electronic Dealer Reporting System

Welcome to the eDealer Reporting System

Your next login will be on 11/13/2012. Becoming a dealer, becoming a permit holder, and becoming a permit holder are shown below. You can create new dealer reports and submit reports by clicking on the buttons provided.

NEW REPORT
Submit reports should be submitted weekly and dealer reports should be submitted weekly.

UPCOMING REPORT DEADLINE

HMS Category	Reporting Frequency	Due Date (Local Time)	Last Report Submitted
Shark	Weekly	01-30-2012 09:00:00 AM	01-29-2012 14:26:02 PM
Swordfish	Weekly	01-30-2012 09:00:00 AM	01-29-2012 14:26:02 PM
BAYS Tuna	Weekly	01-30-2012 09:00:00 AM	01-29-2012 14:26:02 PM

PENDING SUBMISSION

Report #	Report Type	Report Number	Create Date	Action
123	Landing Report	201201296676	01/29/2012	DR Delete
123	Landing Report	201201296688	01/29/2012	DR Delete
123	Landing Report	201201296688	01/29/2012	DR Delete
123	Landing Report	201201296688	01/29/2012	DR Delete

Trip Ticket System
For Maine Atlantic Federally Licensed Dealer

Dealer Number: Fed Dealer # 3008, State Dealer # 454321

HMS is Active

Support Center: Claude Fineman, Andrew Pattenen

Update Center: Find Me, Update License Lists, Update Status, Check for Program Updates

<http://www.nmfs.noaa.gov/sfa/hms/edealer/index.html>

They can also call or email us:

Dedicated Customer Service phone line and email

301-427-8590

HMS.DealerReports@noaa.gov



- Staff support available Monday through Friday (8:00 pm to 5:00 pm)
- To date, we have handled:
 - ~ 900 customer service calls
 - ~ 600 emails
- All calls and emails are documented

Data quality assurance and control (QA/QC)

- System checks data at different points in the QA/QC process
- System notifies us of data issues via email notifications (received ~ 7,000 notifications)
- System checks for completeness of dealer reports
- Staff checks for data accuracy
- All changes are documented



Weekly compliance checks

- Every week staff ensures all dealers have reported; non-compliance identified in real-time
- Dealers notified of non-compliance via email and prohibited from purchasing HMS until delinquent reports submitted
- HMS staff has developed a protocol with enforcement to document and address non-compliance and record action taken
- Enforcement action may include assessment of monetary penalties and/or permit sanctions
- Information on the national Penalty Policy and Summary Settlement schedule is available at <http://www.gc.noaa.gov/enforce-office3.html>

Data coordination for Atlantic HMS

The data received includes:

- Trip level reports from Texas to Maine, including the Caribbean;
- Improved price, vessel and species-specific, and catch area information;
and,
- Collecting the same data elements across all regions

The data:

- Allows matching of dealer information with logbook (vessel) data;
- Allows tracking of compliance of HMS dealer reporting requirements;

Data coordination for Atlantic HMS

The data (continued):

- Is stored in one repository for all HMS data to be used for:
 - quota monitoring (landings reports)
 - environmental and socio-economic impact analyses;
- Will improve monitoring of annual catch limits;
- Will improve data to be used for stock assessments; and,
- Will help with compliance with both domestic and international regulations

The data has resulted in:

- Identifying fishing vessels and dealers operating without correct and/or valid permits
- Reducing the universe of HMS dealers; 81 dealers have surrendered their permits since electronic reporting implemented
- A secondary check of when fishing vessels are out fishing abiding by observer regulations and logbook reporting requirements
- Up-to-date price data
- More timely state data
- Ensuring species-specific reporting

Thank you!

Questions?

- Delisse Ortiz or Karyl Brewster-Geisz: 301-427-8503
- Jackie Wilson: 240-338-3936

